



The top anti-money laundering and sanctions compliance challenges for financial institutions for 2018 and beyond

May 2018

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AlixPartners 2017 global AML survey results

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By the numbers



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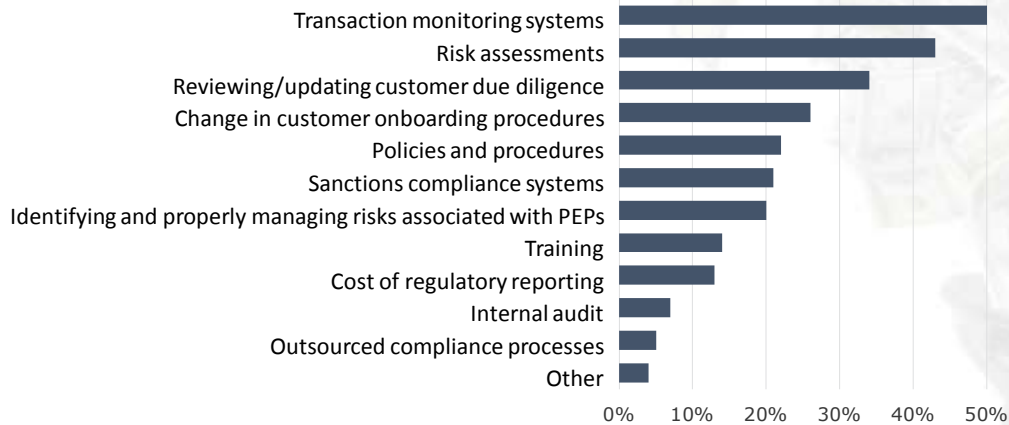
The good, the bad, and the ugly truth

- 20% do not provide AML/OFAC training to their board of directors or are unaware if the board is being briefed on AML/OFAC matters
- 54% saw an **increase** in their AML and OFAC compliance budget
- 32% consider their AML and OFAC compliance budget **inadequate** or **severely inadequate**
- 54% identify **automated transaction monitoring systems** as the top investment area in their AML compliance efforts
- 55% identify **sanction screening systems** as the top investment area in their AML compliance efforts
- 8% do **not** have a formalized AML/OFAC compliance program
- 35% do **not** perform independent annual reviews of their AML/OFAC compliance program

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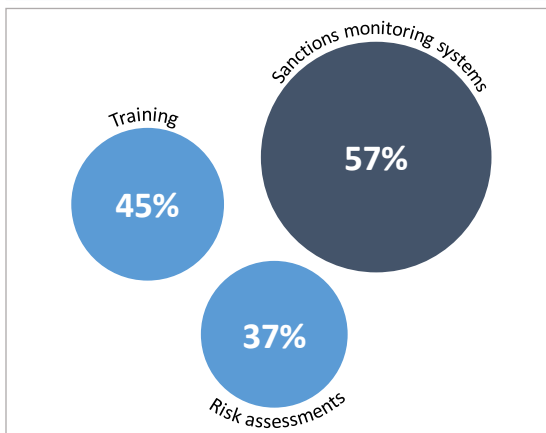
Most challenging areas in AML and sanctions compliance

Percent in top three (3) challenging areas

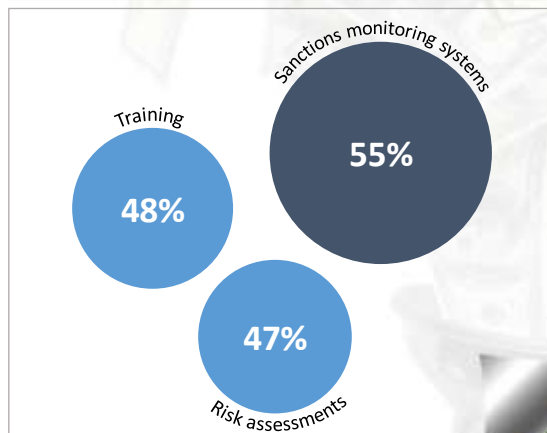


Top investment areas for financial institutions in the next 12 to 24 months

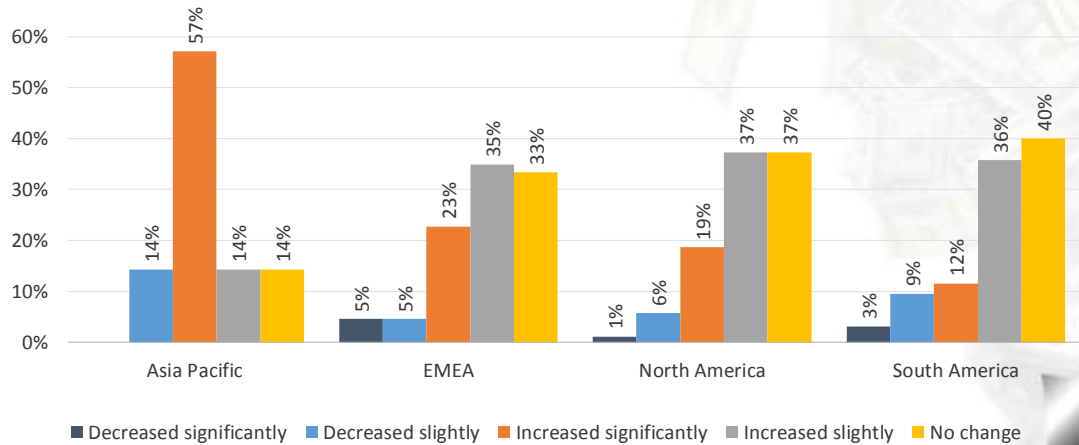
AML programs



Sanctions compliance programs



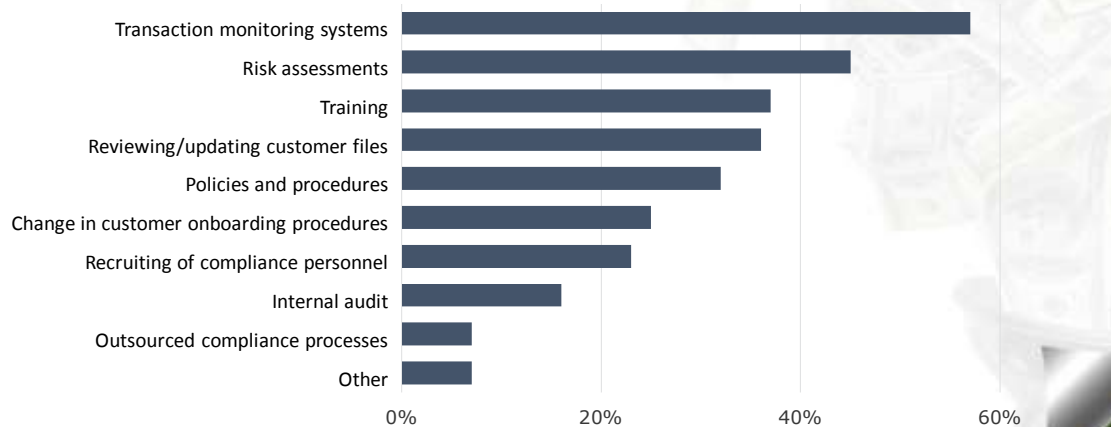
Change in annual AML and sanctions compliance spending over the last 12 months



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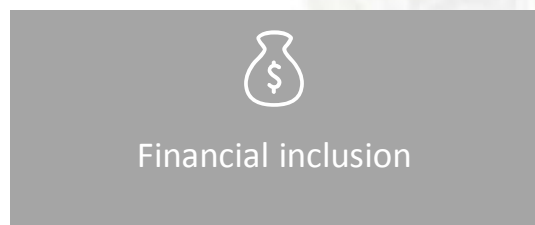
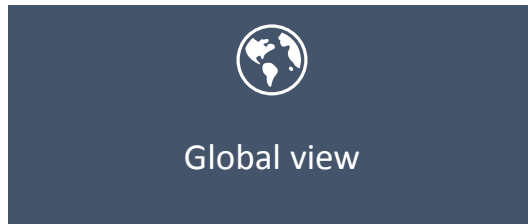
Top investment areas in financial institution's AML programs

Percent in top three (3) investment areas



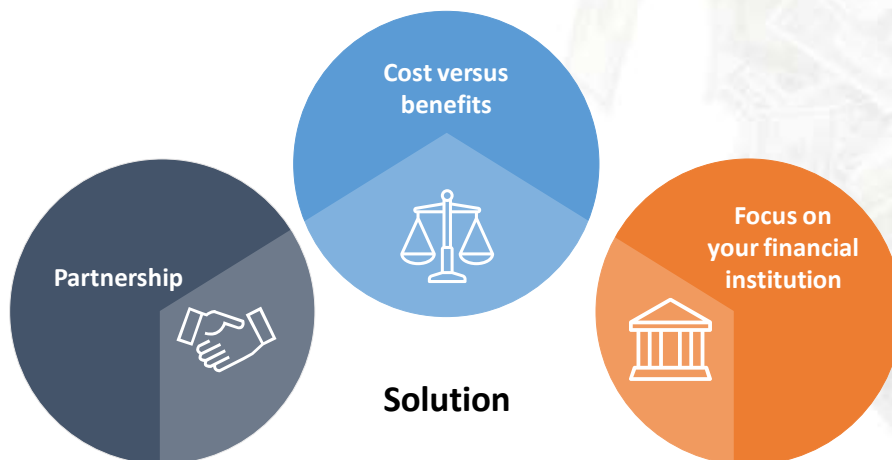
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Current challenges and impacts



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Is there a solution?



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Moving ahead

- The evolving regulatory landscape poses significant challenges to financial institutions, but it might also present opportunities
- By performing comprehensive risk assessments and establishing a culture of compliance throughout the organization, a financial institution can position itself to better recognize, identify, and avoid potential risk exposure

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Moving ahead



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Q & A



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when it really
matters

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